

Risk-Informed Decision Framework for Setting Environmental Windows for Dredging Projects

Burton Suedel, Ph.D.
Igor Linkov, Ph.D.
Jongbum Kim, Ph.D.
Charles Lutz, M.S.
Doug Clarke, Ph.D.

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Problems with Setting Environmental Windows

- EW: Time periods that allow the dredging; seasonal restriction is opposite meaning, that is those activities are prohibited.
- No consistent, broadly accepted methodology for objectively setting EWs has emerged
- Some case, EWs are set without scientific basis (NRC 2001) and established by negotiations emphasizing conservative professional judgments



Problems with Setting Environmental Windows

- Most of allowable EWs are not flexible and do not consider:
 - ▶ consequences of contractual delays
 - ▶ availability of dredge plants,
 - ▶ safety issues risks to dredge crew (e.g., safety during cold weather periods)
- This results in higher costs for Federal projects: are the benefits worth these costs?
- How to balance the various factors of importance?

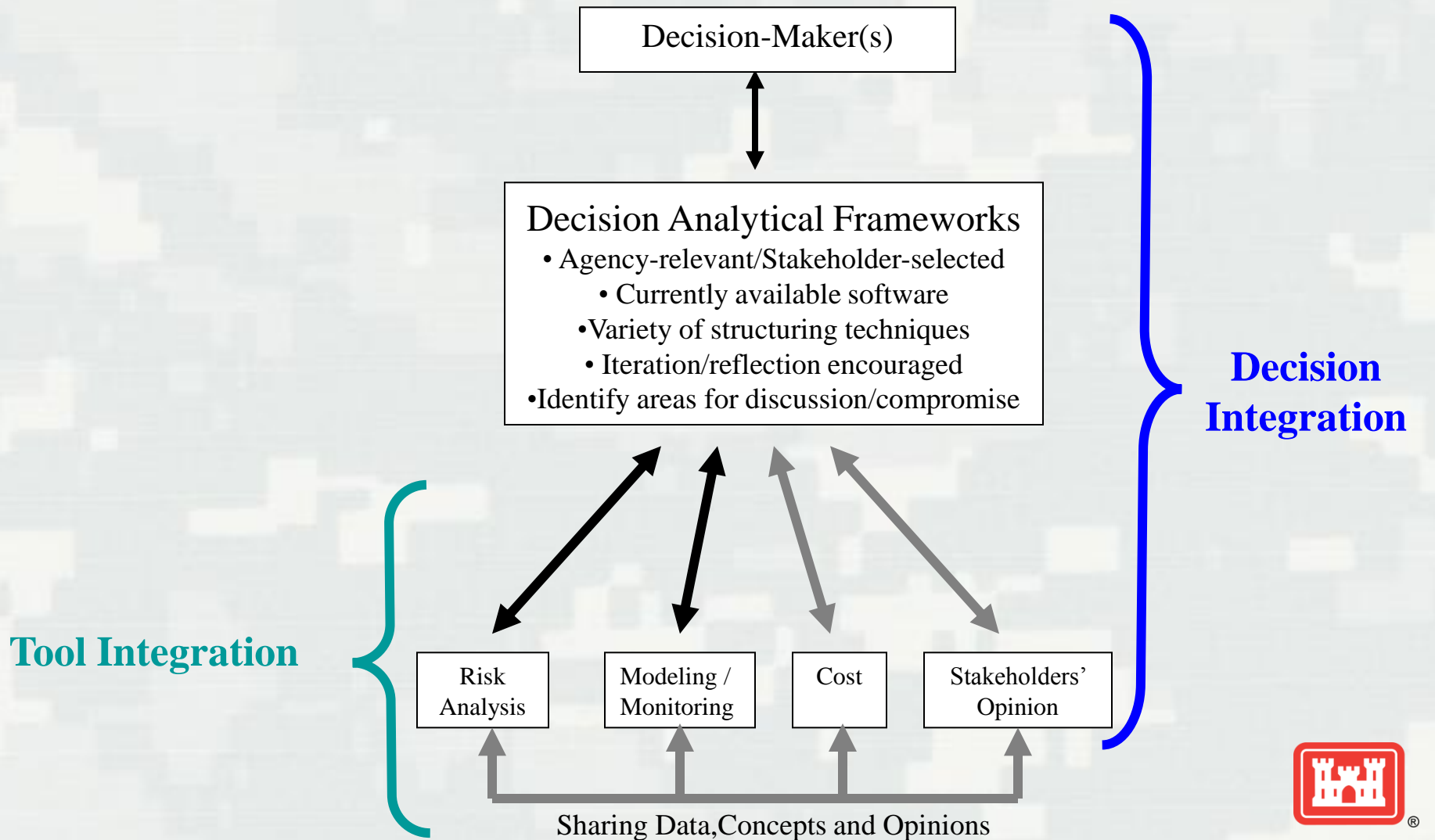


Problems with Setting Environmental Windows

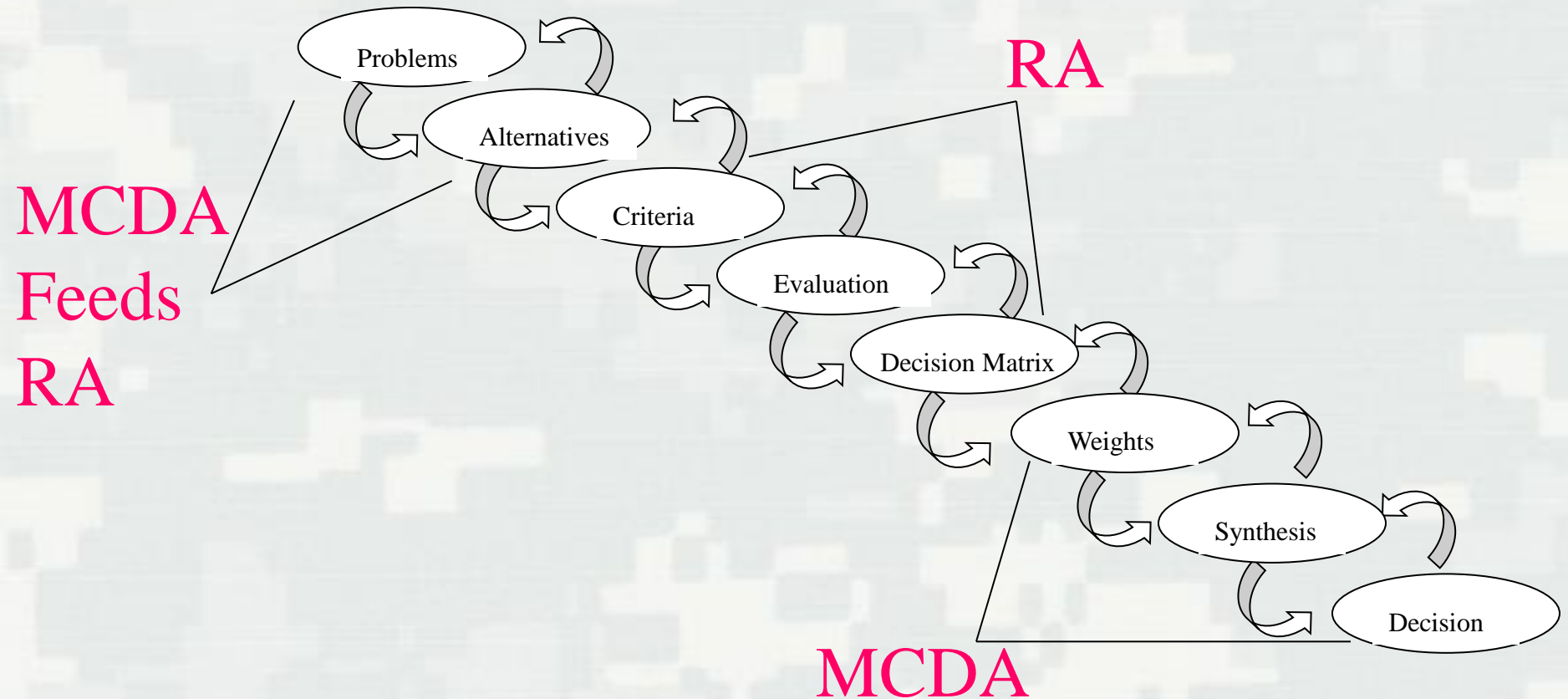
- According to NRC (2001):
 - “a special effort should be made to identify existing tools for structured decision making in complex socio-political situations and to evaluate their applicability to the process of setting environmental windows for dredging..., its implementation will be challenging because it calls for a balancing of priorities...it is also the most critical*
- None have applied a structured decision process that can systematically evaluate various EW alternatives in terms of their comparative risks



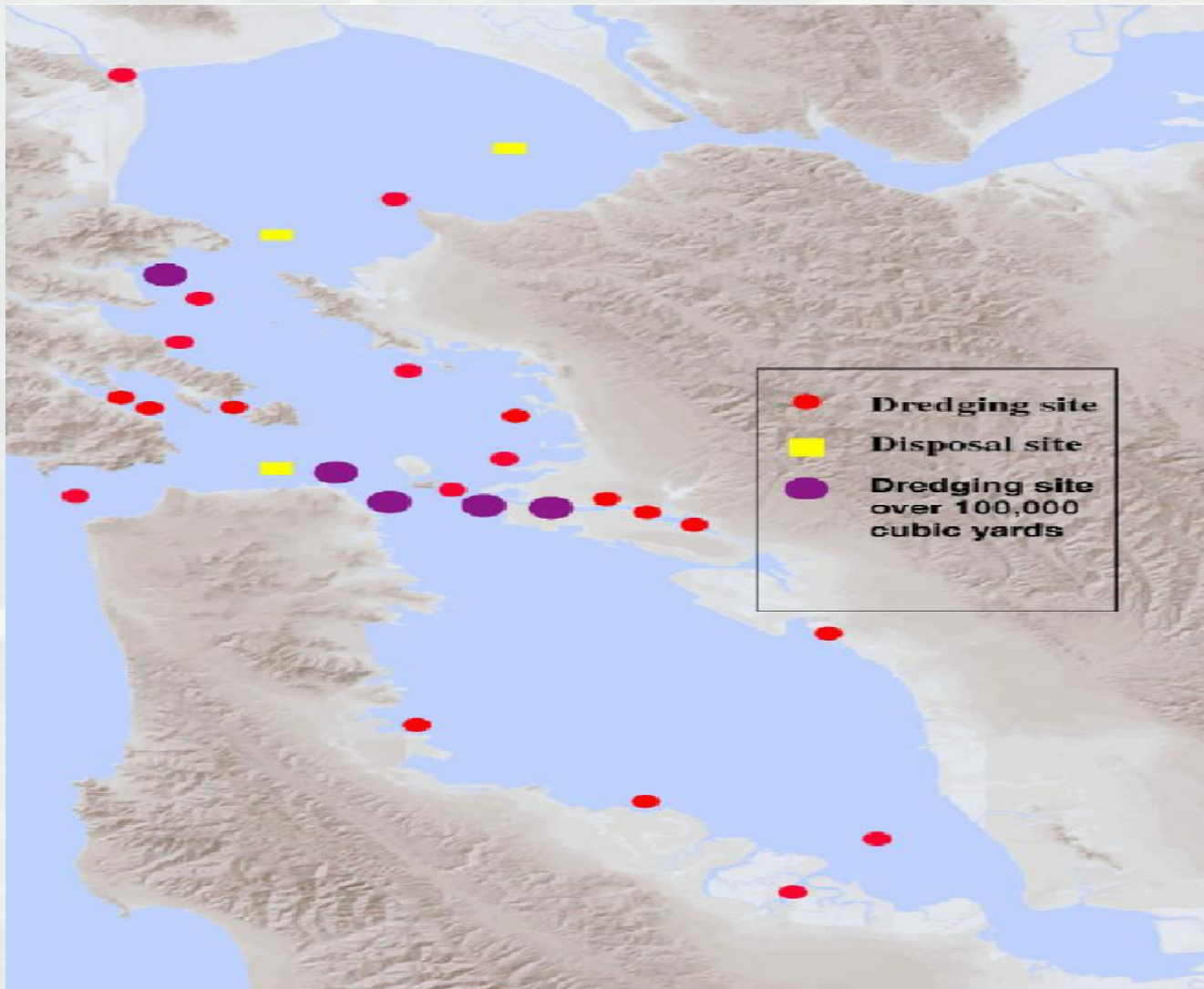
Evolving Decision-Making Processes



Environmental Windows as Decision Problem



Dredging: Environmental Impacts



EW: Management Alternative to Minimize Impact

Site	Species	Jan	Jan	Feb	Feb	Mar	Mar	Apr	Apr	May	May	Jun	Jun	Jul	Jul	Aug	Aug	Sep	Sep	Oct	Oct	Nov	Nov	Dec	Dec				
		1-15	16-31	1-15	16-28	1-15	16-31	1-15	16-30	1-15	16-31	1-15	16-30	1-15	16-31	1-15	16-31	1-15	16-30	1-15	16-31	1-15	16-30	1-15	16-31				
SF Bay Bridge to Sherman Island	Steelhead Trout	[Dotted]										[Solid]																	
	Chinook Salmon Juveniles	[Dotted]										[Solid]																	
Carqueez Bridge to Collinsville	Sacramento Splittail	[Dotted]																											
	Delta Smelt	[Dotted]																											
	Longfin Smelt	[Dotted]																		[Solid]									
Pinole Shoal Suisun Bay Channel	Chinook Salmon (Adults)	[Solid]										[Dotted]																	
San Pablo Bay	Longfin Smelt	[Solid]		[Dotted]																		[Solid]							
North San Pablo Bay, Napa & Petaluma Rivers	Sacramento Splittail (Juveniles)	[Solid]		[Dotted]																		[Solid]							
Napa & Petaluma Rivers, Sonoma Creek	Steelhead Trout	[Dotted]														[Solid]													
San Pablo Bay & South SF Bay	Western Snowy Plover	[Dotted]																											
North SF Bay & San Pablo Bay shallow berthing areas	Dungeness Crab	[Solid]								[Dotted]								[Solid]											
Richardson Bay, North & South Bay	Pacific Herring	[Dotted]				[Solid]																[Dotted]							
Waters of Marin County from the Golden Gate Bridge to Richmond-San Rafael Bridge	Coho Salmon	[Dotted]										[Solid]										[Dotted]							
Central SF Bay	Steelhead Trout	[Dotted]										[Solid]										[Dotted]							
	Pacific Herring	[Dotted]				[Solid]																[Dotted]							
Berkeley Marina to San Lorenzo Creek within 1 mile of coastline	California Least Tern	[Solid]						[Dotted]												[Solid]									
South of Highway 92 Bridge (San Mateo-Hayward)	California Least Tern	[Solid]										[Dotted]																	
In Areas with Eelgrass Beds	California Least Tern	[Dotted]																											
Baywide in Areas of Salt Marsh Habitat	California Clapper Rail	[Dotted]																											
Baywide within 250 feet of Salt Marsh Habitat	California Clapper Rail	[Solid]		[Dotted]																		[Solid]							
In and Adjacent to Salt Marsh Habitat	Salt Marsh Harvest Mouse	[Dotted]																											
Within 300' of known roost site	California Brown Pelican	[Solid]										[Dotted]														[Solid]			

For more detailed information, see Appendix F of the LTMS Management Plan or the LTMS EIR/EIS

WORK WINDOW

CONSULTATION REQUIRED



Example:

Pacific Herring in San Francisco Bay

- ~3000 tons of roe harvested each year
- Herring spawn in proximity to areas that are periodically maintained by dredging, which fosters concern that dredging activities could harm the species or the fishery
- The EW for herring extends from March through November
 - ▶ Dredging in December-February requires consultation with the appropriate regulatory agencies. Our hypothetical example considers extending the environmental window into the month of December



Alternatives

- Hydraulic and mechanical dredging in November, December and January (HNov, MNov, HDec, MDec, HJan, MJan)



Assessment Criteria

The screenshot shows the CSMAA v1.0 software interface. The title bar reads "CSMAA v1.0 (Full version) C:\SMAA\CSMAA-Demo2\SanFranAltDredg.csm". The menu bar includes "File" and "Help". Below the menu bar is a tabbed interface with tabs for "Select method", "Select #", "Alternative names", "Criteria", "Measurements", "Uncertainties", "Preferences", and "Execute". The "Criteria" tab is active, displaying a table of assessment criteria.

Name	Type	Direction	Indifference TH	Preference TH	Veto TH	Threshold type
BAbn	UNIF	DESC	0	0	Enable	ABS, DET
BHbt	UNIF	DESC	0	0	Enable	ABS, DET
BBhv	UNIF	DESC	0	0	Enable	ABS, DET
PNos	UNIF	DESC	0	0	Enable	ABS, DET
PSed	UNIF	DESC	0	0	Enable	ABS, DET
PTrb	UNIF	DESC	0	0	Enable	ABS, DET
WTox	UNIF	DESC	0	0	Enable	ABS, DET
WOxy	UNIF	DESC	0	0	Enable	ABS, DET
Cost	UNIF	DESC	0	0	Enable	ABS, DET

Biological: Abundance (BAbn), Impact on Habitat (BHbt), and Impact on spawning behavior (BBhv)

Physical: Suspended Sediments (PSed) and Noise (PNos)

Water Quality: Contamination, (WTox) and Oxygen Reduction (WOxy)

Economic - Cost



Conceptual Model of Sediment Impact on Herring



Metric Assessment by Criteria

CSMAA v1.0 (Full version) C:\SMAA\CSMAA-Demo2\SanFranAltDredg.csm

File Help

Select method | Select # | Alternative names | **Criteria** | Measurements | Uncertainties | Preferences | Execute

Input measurements for the alternatives.
For ordinal criteria: the rank.
For uniform distributed cardinal: mean of the interval.
For Gaussian distributed: the mean.

	BAbn	BHbt	BBhv	PNos	PSed	PTrb	WTox	WOxy	Cost
HNov	<input type="text" value="2"/>	<input type="text" value="1"/>	<input type="text" value="1"/>	<input type="text" value="2"/>	<input type="text" value="15"/>	<input type="text" value="1"/>	<input type="text" value="15"/>	<input type="text" value="1"/>	<input type="text" value="1"/>
MNov	<input type="text" value="2"/>	<input type="text" value="1"/>	<input type="text" value="1"/>	<input type="text" value="1"/>	<input type="text" value="66"/>	<input type="text" value="2"/>	<input type="text" value="66"/>	<input type="text" value="1"/>	<input type="text" value="3"/>
HDec	<input type="text" value="22"/>	<input type="text" value="1"/>	<input type="text" value="1"/>	<input type="text" value="2"/>	<input type="text" value="15"/>	<input type="text" value="1"/>	<input type="text" value="15"/>	<input type="text" value="1"/>	<input type="text" value="1"/>
MDec	<input type="text" value="22"/>	<input type="text" value="1"/>	<input type="text" value="1"/>	<input type="text" value="1"/>	<input type="text" value="66"/>	<input type="text" value="2"/>	<input type="text" value="66"/>	<input type="text" value="1"/>	<input type="text" value="3"/>
HJan	<input type="text" value="50"/>	<input type="text" value="1"/>	<input type="text" value="1"/>	<input type="text" value="2"/>	<input type="text" value="15"/>	<input type="text" value="1"/>	<input type="text" value="15"/>	<input type="text" value="1"/>	<input type="text" value="1"/>
MJan	<input type="text" value="50"/>	<input type="text" value="1"/>	<input type="text" value="1"/>	<input type="text" value="1"/>	<input type="text" value="66"/>	<input type="text" value="2"/>	<input type="text" value="66"/>	<input type="text" value="1"/>	<input type="text" value="3"/>

Alternatives

Criteria



Criteria Weight

CSMAA v1.0 (Full version) C:\SMAA\CSMAA-Demo2\SanFranAltDredg.csm *

File Help

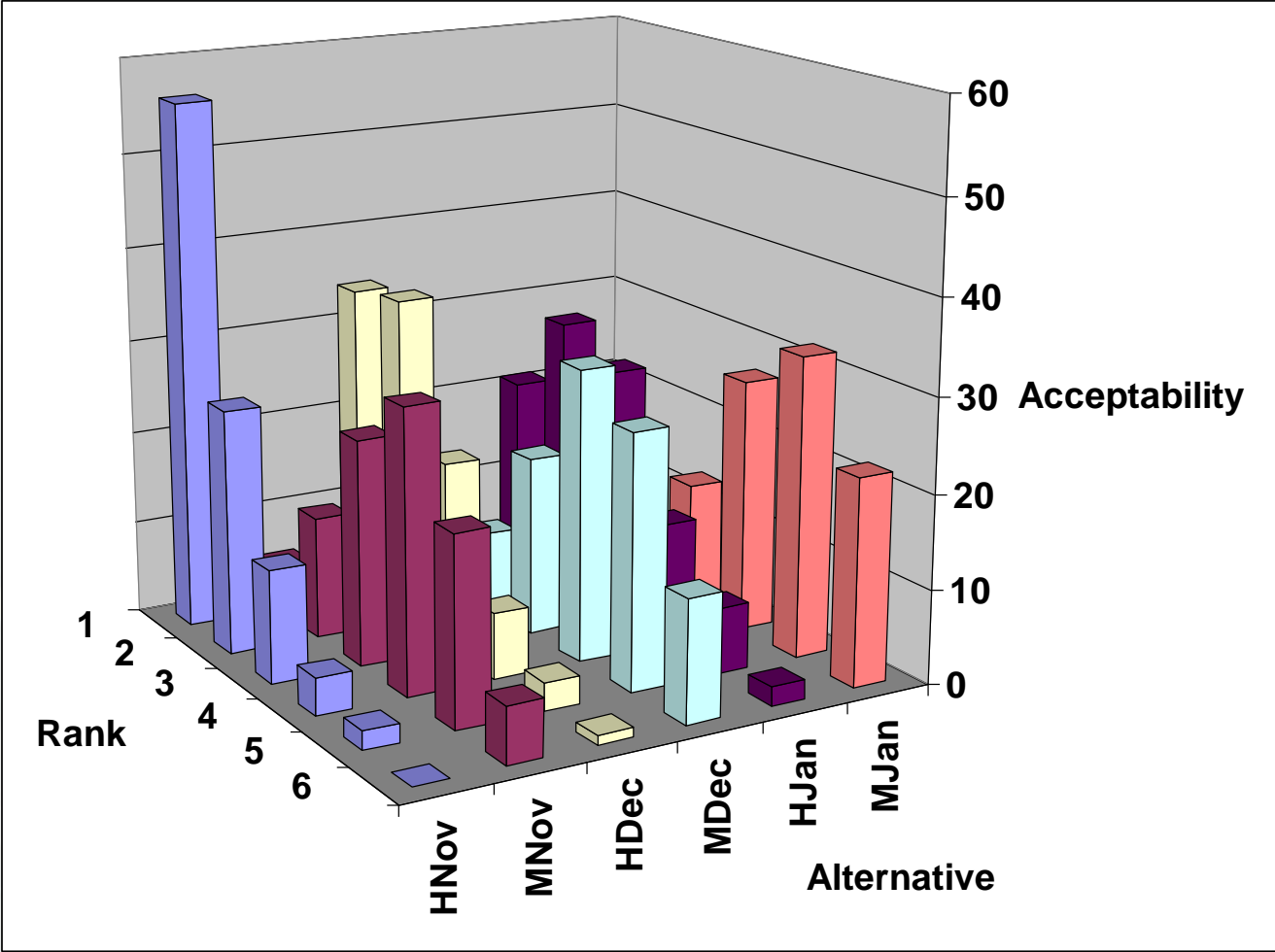
Select method | Select # | Alternative names | Criteria | Measurements | Uncertainties | Preferences | Execute

Add exact preferences | Remove cardinal preferences | Add ordinal (ranking) preferences


BAbn	<input type="text" value="0.05"/>	<input type="button" value="Remove lowerbound"/>	<input type="text" value="0.49"/>	<input type="button" value="Remove upperbound"/>
BHbt	<input type="text" value="0.05"/>	<input type="button" value="Remove lowerbound"/>	<input type="text" value="0.49"/>	<input type="button" value="Remove upperbound"/>
BBhv	<input type="text" value="0.05"/>	<input type="button" value="Remove lowerbound"/>	<input type="text" value="0.49"/>	<input type="button" value="Remove upperbound"/>
PNos	<input type="text" value="0.05"/>	<input type="button" value="Remove lowerbound"/>	<input type="text" value="0.49"/>	<input type="button" value="Remove upperbound"/>
PSed	<input type="text" value="0.05"/>	<input type="button" value="Remove lowerbound"/>	<input type="text" value="0.49"/>	<input type="button" value="Remove upperbound"/>
PTrb	<input type="text" value="0.05"/>	<input type="button" value="Remove lowerbound"/>	<input type="text" value="0.49"/>	<input type="button" value="Remove upperbound"/>
WTox	<input type="text" value="0.05"/>	<input type="button" value="Remove lowerbound"/>	<input type="text" value="0.49"/>	<input type="button" value="Remove upperbound"/>
WOxy	<input type="text" value="0.05"/>	<input type="button" value="Remove lowerbound"/>	<input type="text" value="0.49"/>	<input type="button" value="Remove upperbound"/>
Cost	<input type="text" value="0.05"/>	<input type="button" value="Remove lowerbound"/>	<input type="text" value="0.49"/>	<input type="button" value="Remove upperbound"/>



Rank Acceptability Analysis



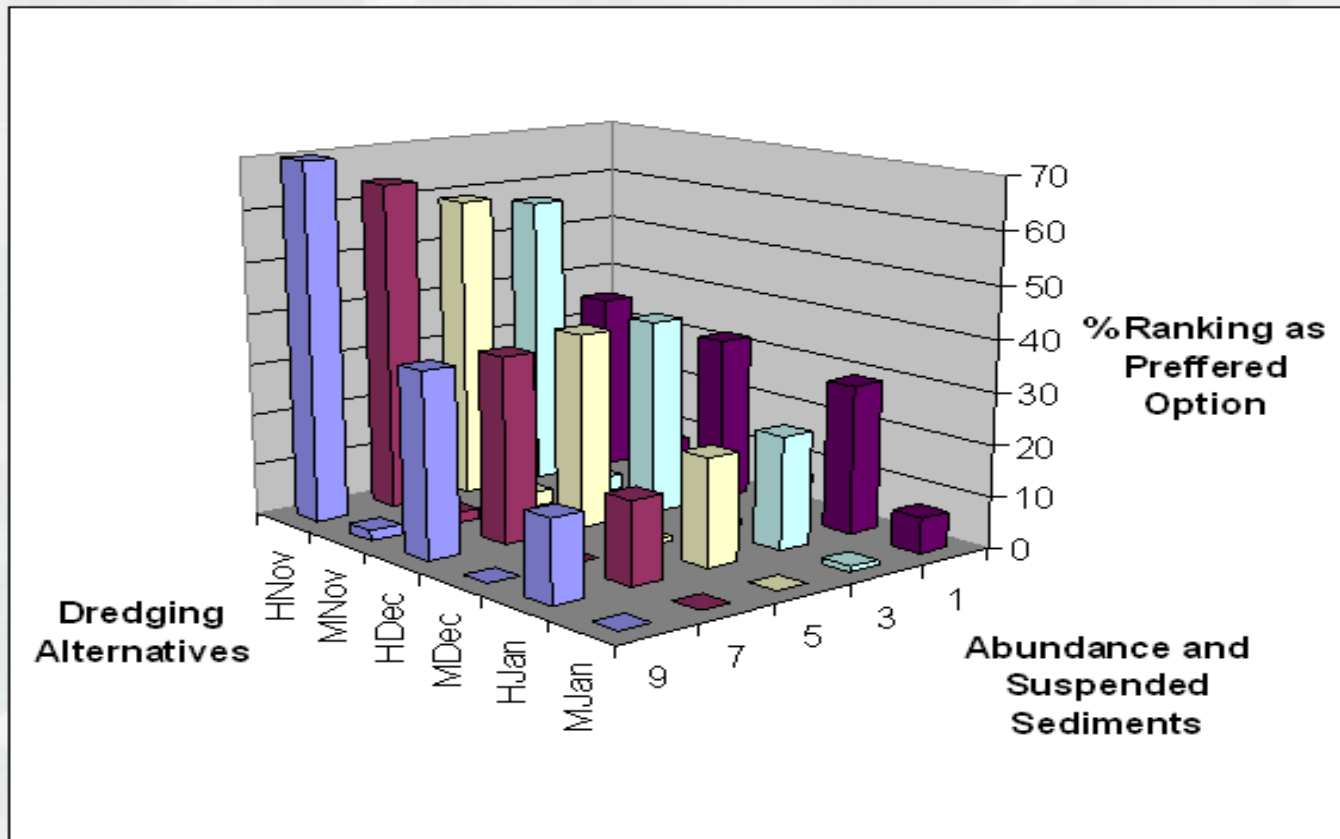
Pair-wise Metrics Domination Matrix

	HNov	MNov	HDec	MDec	HJan	MJan
HNov	0	86	78	98	96	100
MNov	9	0	30	77	68	95
HDec	13	63	0	87	83	98
MDec	1	18	9	0	37	77
HJan	3	26	11	53	0	84
MJan	0	4	1	13	11	0

- Dark green: 50-100%
- Light green is 25-49%
- Red is less than 25% of cases outranked by other alternatives.



Sensitivity Analysis



- Varying weights for one biological (BAbn) and one physical criterion (PSed) while all other criteria were equally ranked.



Main Points

- Risks and benefits associated with alternative resuspension management can be quantified using risk informed decision making
- Model, Parameter and Scenario uncertainty and variability associated with predicting efficiency of dredging alternatives as well as stakeholder value judgment are important to consider
- Challenges of risk assessment and planning for situations with a limited knowledge base and high uncertainty and variability require coupling traditional risk assessment and planning with multi-criteria decision analysis (MCDA) to support dredging decisions

